

This is the *PlusCal* specification of the distributed bakery algorithm in the paper

Deconstructing the Bakery to Build a Distributed State Machine

We assume here that you have read the *BakeryDeconstructed* specification, whose comments explain the structure of this *PlusCal* translation of the pseudo-code in the paper, and how it was model checked.

The statements in gray in the paper's pseudo-code, which involve the unnecessary variable *localCh*, are identified here by lines that end with the comment ERASABLE in the *PlusCal* code and where *localCh* appears in the *TypeOK* invariant.

EXTENDS *Integers, Sequences*

$$q \ll r \triangleq \begin{aligned} &\vee q[1] < r[1] \\ &\vee \wedge q[1] = r[1] \\ &\wedge q[2] < r[2] \end{aligned}$$

CONSTANT *N*

ASSUME $N \in \text{Nat} \setminus \{0\}$

$\text{Nodes} \triangleq 1 .. N$

$\text{OtherNodes}(i) \triangleq \text{Nodes} \setminus \{i\}$

$\text{ProcIds} \triangleq \{\langle i \rangle : i \in \text{Nodes}\}$

$\text{SubProcs} \triangleq \{p \in \text{Nodes} \times \text{Nodes} : p[1] \neq p[2]\}$

$\text{MsgProcs} \triangleq \{p \in \text{Nodes} \times \text{Nodes} \times \{\text{"msg"}\} : p[1] \neq p[2]\}$

$\text{SubProcsOf}(i) \triangleq \{p \in \text{SubProcs} : p[1] = i\}$

$\text{ack} \triangleq \text{CHOOSE } v : v \notin \text{Nat}$

--algorithm *Decon*{

variables $\text{number} = [i \in \text{Nodes} \mapsto 0],$
 $\text{localNum} = [i \in \text{Nodes} \mapsto [j \in \text{OtherNodes}(i) \mapsto 0]],$
 $\text{localCh} = [i \in \text{Nodes} \mapsto [j \in \text{OtherNodes}(i) \mapsto 0]],$ ERASABLE
 $\text{ackRcvd} = [i \in \text{Nodes} \mapsto [j \in \text{OtherNodes}(i) \mapsto 0]],$
 $q = [i \in \text{Nodes} \mapsto [j \in \text{OtherNodes}(i) \mapsto \langle \rangle]]$

fair process ($\text{main} \in \text{ProcIds}$){

$\text{ncs} :- \text{while } (\text{TRUE})\{$

skip; noncritical section

$M : \text{await } \forall p \in \text{SubProcsOf}(\text{self}[1]) : \text{pc}[p] = \text{"L0"} ;$

with ($v \in \{n \in \text{Nat} \setminus \{0\} :$

$\forall j \in \text{OtherNodes}(\text{self}[1]) :$
 $n > \text{localNum}[\text{self}[1]][j]\})\{$

$\text{number}[\text{self}[1]] := v ;$

$q[\text{self}[1]] := [j \in \text{OtherNodes}(\text{self}[1])$
 $\mapsto \text{Append}(q[\text{self}[1]][j], v)]$

$\};$

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    L: await  $\forall p \in \text{SubProcsOf}(\text{self}[1]) : \text{pc}[p] = \text{"ch"} ;$ 
    cs: skip; critical section
    P:  $\text{ackRcvd}[\text{self}[1]] := [j \in \text{OtherNodes}(\text{self}[1]) \mapsto 0];$ 
       $\text{number}[\text{self}[1]] := 0;$ 
       $q[\text{self}[1]] := [j \in \text{OtherNodes}(\text{self}[1])$ 
         $\mapsto \text{Append}(q[\text{self}[1]][j], 0)]$ 
      }
  }

fair process ( $\text{sub} \in \text{SubProcs}$ ){
  ch: while (TRUE){
    await  $\text{pc}[\langle \text{self}[1] \rangle] = \text{"M"} ;$ 
     $\text{localCh}[\text{self}[2]][\text{self}[1]] := 1 ;$  ERASABLE
  L0: await  $\text{pc}[\langle \text{self}[1] \rangle] = \text{"L"} ;$ 
    await  $\text{ackRcvd}[\text{self}[1]][\text{self}[2]] = 1 ;$ 
     $\text{localCh}[\text{self}[2]][\text{self}[1]] := 0 ;$  ERASABLE
  L2: await  $\text{localCh}[\text{self}[1]][\text{self}[2]] = 0 ;$  ERASABLE
  L3: await  $\vee \text{localNum}[\text{self}[1]][\text{self}[2]] = 0$ 
     $\vee \langle \text{number}[\text{self}[1]], \text{self}[1] \rangle \ll$ 
     $\langle \text{localNum}[\text{self}[1]][\text{self}[2]], \text{self}[2] \rangle$ 
  }
}

fair process ( $\text{msg} \in \text{MsgProcs}$ ){
  wr: while (TRUE){
    await  $q[\text{self}[2]][\text{self}[1]] \neq \langle \rangle ;$ 
    with ( $v = \text{Head}(q[\text{self}[2]][\text{self}[1]])$ ){
      if ( $v = \text{ack}$ ){  $\text{ackRcvd}[\text{self}[1]][\text{self}[2]] := 1$  }
      else {  $\text{localNum}[\text{self}[1]][\text{self}[2]] := v ;$  }
      if ( $v \in \{0, \text{ack}\}$ ){
         $q[\text{self}[2]][\text{self}[1]] := \text{Tail}(q[\text{self}[2]][\text{self}[1]])$ 
      }
      else {  $q[\text{self}[2]][\text{self}[1]] := \text{Tail}(q[\text{self}[2]][\text{self}[1]]) \parallel$ 
         $q[\text{self}[1]][\text{self}[2]] := \text{Append}(q[\text{self}[1]][\text{self}[2]], \text{ack})$  }
    }
  }
}
}

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BEGIN TRANSLATION ($\text{chksum}(\text{pcal}) = \text{"d4d60f14"} \wedge \text{chksum}(\text{tla}) = \text{"8b3daef"}$)

VARIABLES $\text{number}, \text{localNum}, \text{localCh}, \text{ackRcvd}, q, \text{pc}$

$\text{vars} \triangleq \langle \text{number}, \text{localNum}, \text{localCh}, \text{ackRcvd}, q, \text{pc} \rangle$

$\text{ProcSet} \triangleq (\text{ProcIds}) \cup (\text{SubProcs}) \cup (\text{MsgProcs})$

$\text{Init} \triangleq$ Global variables

$$\begin{aligned}
& \wedge \text{number} = [i \in \text{Nodes} \mapsto 0] \\
& \wedge \text{localNum} = [i \in \text{Nodes} \mapsto [j \in \text{OtherNodes}(i) \mapsto 0]] \\
& \wedge \text{localCh} = [i \in \text{Nodes} \mapsto [j \in \text{OtherNodes}(i) \mapsto 0]] \\
& \wedge \text{ackRcvd} = [i \in \text{Nodes} \mapsto [j \in \text{OtherNodes}(i) \mapsto 0]] \\
& \wedge q = [i \in \text{Nodes} \mapsto [j \in \text{OtherNodes}(i) \mapsto \langle \rangle]] \\
& \wedge \text{pc} = [\text{self} \in \text{ProcSet} \mapsto \text{CASE } \text{self} \in \text{ProcIds} \rightarrow \text{"ncs"} \\
& \quad \square \text{self} \in \text{SubProcs} \rightarrow \text{"ch"} \\
& \quad \square \text{self} \in \text{MsgProcs} \rightarrow \text{"wr"}]
\end{aligned}$$

$$\begin{aligned}
\text{ncs}(\text{self}) & \triangleq \wedge \text{pc}[\text{self}] = \text{"ncs"} \\
& \wedge \text{TRUE} \\
& \wedge \text{pc}' = [\text{pc} \text{ EXCEPT } ![\text{self}] = \text{"M"}] \\
& \wedge \text{UNCHANGED } \langle \text{number}, \text{localNum}, \text{localCh}, \text{ackRcvd}, q \rangle
\end{aligned}$$

$$\begin{aligned}
\text{M}(\text{self}) & \triangleq \wedge \text{pc}[\text{self}] = \text{"M"} \\
& \wedge \forall p \in \text{SubProcsOf}(\text{self}[1]) : \text{pc}[p] = \text{"L0"} \\
& \wedge \exists v \in \{n \in \text{Nat} \setminus \{0\} : \\
& \quad \forall j \in \text{OtherNodes}(\text{self}[1]) : \\
& \quad \quad n > \text{localNum}[\text{self}[1]][j] : \\
& \quad \wedge \text{number}' = [\text{number} \text{ EXCEPT } ![\text{self}[1]] = v] \\
& \quad \wedge q' = [q \text{ EXCEPT } ![\text{self}[1]] = [j \in \text{OtherNodes}(\text{self}[1]) \\
& \quad \quad \mapsto \text{Append}(q[\text{self}[1]][j], v)]] \\
& \wedge \text{pc}' = [\text{pc} \text{ EXCEPT } ![\text{self}] = \text{"L"}] \\
& \wedge \text{UNCHANGED } \langle \text{localNum}, \text{localCh}, \text{ackRcvd} \rangle
\end{aligned}$$

$$\begin{aligned}
\text{L}(\text{self}) & \triangleq \wedge \text{pc}[\text{self}] = \text{"L"} \\
& \wedge \forall p \in \text{SubProcsOf}(\text{self}[1]) : \text{pc}[p] = \text{"ch"} \\
& \wedge \text{pc}' = [\text{pc} \text{ EXCEPT } ![\text{self}] = \text{"cs"}] \\
& \wedge \text{UNCHANGED } \langle \text{number}, \text{localNum}, \text{localCh}, \text{ackRcvd}, q \rangle
\end{aligned}$$

$$\begin{aligned}
\text{cs}(\text{self}) & \triangleq \wedge \text{pc}[\text{self}] = \text{"cs"} \\
& \wedge \text{TRUE} \\
& \wedge \text{pc}' = [\text{pc} \text{ EXCEPT } ![\text{self}] = \text{"P"}] \\
& \wedge \text{UNCHANGED } \langle \text{number}, \text{localNum}, \text{localCh}, \text{ackRcvd}, q \rangle
\end{aligned}$$

$$\begin{aligned}
\text{P}(\text{self}) & \triangleq \wedge \text{pc}[\text{self}] = \text{"P"} \\
& \wedge \text{ackRcvd}' = [\text{ackRcvd} \text{ EXCEPT } ![\text{self}[1]] = [j \in \text{OtherNodes}(\text{self}[1]) \mapsto 0]] \\
& \wedge \text{number}' = [\text{number} \text{ EXCEPT } ![\text{self}[1]] = 0] \\
& \wedge q' = [q \text{ EXCEPT } ![\text{self}[1]] = [j \in \text{OtherNodes}(\text{self}[1]) \\
& \quad \quad \mapsto \text{Append}(q[\text{self}[1]][j], 0)]] \\
& \wedge \text{pc}' = [\text{pc} \text{ EXCEPT } ![\text{self}] = \text{"ncs"}] \\
& \wedge \text{UNCHANGED } \langle \text{localNum}, \text{localCh} \rangle
\end{aligned}$$

$$\text{main}(\text{self}) \triangleq \text{ncs}(\text{self}) \vee \text{M}(\text{self}) \vee \text{L}(\text{self}) \vee \text{cs}(\text{self}) \vee \text{P}(\text{self})$$

$$\begin{aligned}
\text{ch}(\text{self}) & \triangleq \wedge \text{pc}[\text{self}] = \text{"ch"} \\
& \wedge \text{pc}[\langle \text{self}[1] \rangle] = \text{"M"}
\end{aligned}$$

$$\begin{aligned} &\wedge \forall self \in ProcIds : WF_{vars}((pc[self] \neq \text{"ncs"}) \wedge main(self)) \\ &\wedge \forall self \in SubProcs : WF_{vars}(sub(self)) \\ &\wedge \forall self \in MsgProcs : WF_{vars}(msg(self)) \end{aligned}$$

END TRANSLATION

$$\begin{aligned} TypeOK \triangleq & \wedge number \in [Nodes \rightarrow Nat] \\ & \wedge \wedge DOMAIN localNum = Nodes \\ & \quad \wedge \forall i \in Nodes : localNum[i] \in [OtherNodes(i) \rightarrow Nat] \\ & \wedge \wedge DOMAIN localCh = Nodes && ERASABLE \\ & \quad \wedge \forall i \in Nodes : localCh[i] \in [OtherNodes(i) \rightarrow \{0, 1\}] && ERASABLE \\ & \wedge \wedge DOMAIN ackRcvd = Nodes \\ & \quad \wedge \forall i \in Nodes : ackRcvd[i] \in [OtherNodes(i) \rightarrow \{0, 1\}] \\ & \wedge \wedge DOMAIN q = Nodes \\ & \quad \wedge \forall i \in Nodes : q[i] \in [OtherNodes(i) \rightarrow Seq(Nat \cup \{ack\})] \\ & \wedge \wedge DOMAIN pc = ProcSet \\ & \quad \wedge \forall p \in ProcSet : \\ & \quad \quad CASE p \in ProcIds \rightarrow pc[p] \in \{\text{"ncs"}, \text{"M"}, \text{"L"}, \text{"cs"}, \text{"P"}\} \\ & \quad \quad \square p \in SubProcs \rightarrow pc[p] \in \{\text{"ch"}, \text{"L0"}, \text{"L2"}, \text{"L3"}\} \\ & \quad \quad \square p \in MsgProcs \rightarrow pc[p] = \text{"wr"} \end{aligned}$$

$$MutualExclusion \triangleq \forall p, r \in ProcIds : (p \neq r) \Rightarrow (\{pc[p], pc[r]\} \neq \{\text{"cs"}\})$$

$$StarvationFree \triangleq \forall p \in ProcIds : (pc[p] = \text{"M"}) \rightsquigarrow (pc[p] = \text{"cs"})$$

$$\begin{aligned} TestMaxNum &\triangleq 6 \\ TestNat &\triangleq 0 .. (TestMaxNum + 1) \end{aligned}$$

TEST RESULTS

TLC has tested that *TypeOK* and *MutualExclusion* are invariants of the algorithm, and that the algorithm satisfies the temporal property *StarvationFree*. As a sanity check, some smaller models were used to check that, if fairness is not disabled for the *ncs* action, then the algorithm satisfies the following property, which asserts that every process executes the critical section infinitely many times.

$$\forall i \in Procs : \square \diamond (pc[i] = \text{"cs"})$$

The largest model that was tested was for $N = 3$ and $TestMaxNum = 6$. It had 24,943,042 reachable states and was executed in a little less than 52 minutes on a 64-core machine using 55 worker threads.

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