

Specification Problem

Manfred Broy
Leslie Lamport

Sat 6 Aug 1994

1 The Procedure Interface

The problem calls for the specification and verification of a series of *components*. Components interact with one another using a procedure-calling interface. One component issues a *call* to another, and the second component responds by issuing a *return*. A call is an indivisible (atomic) action that communicates a procedure name and a list of *arguments* to the called component. A return is an atomic action issued in response to a call. There are two kinds of returns, *normal* and *exceptional*. A normal call returns a *value* (which could be a list). An exceptional return also returns a value, usually indicating some error condition. An exceptional return of a value e is called *raising exception e* . A return is issued only in response to a call. There may be “syntactic” restrictions on the types of arguments and return values.

A component may contain multiple *processes* that can concurrently issue procedure calls. More precisely, after one process issues a call, other processes can issue calls to the same component before the component issues a return from the first call. A return action communicates to the calling component the identity of the process that issued the corresponding call.

2 A Memory Component

The component to be specified is a memory that maintains the contents of a set **MemLocs** of locations. The contents of a location is an element of a set **MemVals**. This component has two procedures, described informally below. Note that being an element of **MemLocs** or **MemVals** is a “semantic”

restriction, and cannot be imposed solely by syntactic restrictions on the types of arguments.

Name **Read**
Arguments **loc** : an element of **MemLocs**
Return Value an element of **MemVals**
Exceptions **BadArg** : argument **loc** is not an element of **MemLocs**.
 MemFailure : the memory cannot be read.
Description Returns the value stored in address **loc**.

Name **Write**
Arguments **loc** : an element of **MemLocs**
 val : an element of **MemVals**
Return Value some fixed value
Exceptions **BadArg** : argument **loc** is not an element of **MemLocs**, or
 argument **val** is not an element of **MemVals**.
 MemFailure : the write *might* not have succeeded.
Description Stores the value **val** in address **loc**.

The memory must eventually issue a return for every **Read** and **Write** call.

Define an *operation* to consist of a procedure call and the corresponding return. The operation is said to be *successful* iff it has a normal (nonexceptional) return. The memory behaves as if it maintains an array of atomically read and written locations that initially all contain the value **InitVal**, such that:

- An operation that raises a **BadArg** exception has no effect on the memory.
- Each successful **Read**(*l*) operation performs a single atomic read to location *l* at some time between the call and return.
- Each successful **Write**(*l*, *v*) operation performs a sequence of one or more atomic writes of value *v* to location *l* at some time between the call and return.
- Each unsuccessful **Write**(*l*, *v*) operation performs a sequence of zero or more atomic writes of value *v* to location *l* at some time between the call and return.

A variant of the Memory Component is the Reliable Memory Component, in which no **MemFailure** exceptions can be raised.

Problem 1 (a) Write a formal specification of the Memory component and of the Reliable Memory component.

(b) Either prove that a Reliable Memory component is a correct implementation of a Memory component, or explain why it should not be.

(c) If your specification of the Memory component allows an implementation that does nothing but raise **MemFailure** exceptions, explain why this is reasonable.

3 Implementing the Memory

3.1 The RPC Component

The RPC component interfaces with two environment components, a *sender* and a *receiver*. It relays procedure calls from the sender to the receiver, and relays the return values back to the sender. Parameters of the component are a set **Procs** of procedure names and a mapping **ArgNum**, where **ArgNum**(*p*) is the number of arguments of each procedure *p*. The RPC component contains a single procedure:

Name	RemoteCall
Arguments	proc : name of a procedure args : list of arguments
Return Value	any value that can be returned by a call to proc
Exceptions	RPCFailure : the call failed BadCall : proc is not a valid name or args is not a syntactically correct list of arguments for proc . Raises any exception raised by a call to proc
Description	Calls procedure proc with arguments args

A call of **RemoteCall**(**proc**, **args**) causes the RPC component to do one of the following:

- Raise a **BadCall** exception if **args** is not a list of **ArgNum**(**proc**) arguments.
- Issue one call to procedure **proc** with arguments **args**, wait for the corresponding return (which the RPC component assumes will occur) and either (a) return the value (normal or exceptional) returned by that call, or (b) raise the **RPCFailure** exception.
- Issue no procedure call, and raise the **RPCFailure** exception.

The component accepts concurrent calls of **RemoteCall** from the sender, and can have multiple outstanding calls to the receiver.

Problem 2 Write a formal specification of the RPC component.

3.2 The Implementation

A Memory component is implemented by combining an RPC component with a Reliable Memory component as follows. A **Read** or **Write** call is forwarded to the Reliable Memory by issuing the appropriate call to the RPC component. If this call returns without raising an **RPCFailure** exception, the value returned is returned to the caller. (An exceptional return causes an exception to be raised.) If the call raises an **RPCFailure** exception, then the implementation may either reissue the call to the RPC component or raise a **MemFailure** exception. The RPC call can be retried arbitrarily many times because of **RPCFailure** exceptions, but a return from the **Read** or **Write** call must eventually be issued.

Problem 3 Write a formal specification of the implementation, and prove that it correctly implements the specification of the Memory component of Problem 1.

4 Implementing the RPC Component

4.1 A Lossy RPC

The Lossy RPC component is the same as the RPC component except for the following differences, where δ is a parameter.

- The **RPCFailure** exception is never raised. Instead of raising this exception, the **RemoteCall** procedure never returns.
- If a call to **RemoteCall** raises a **BadCall** exception, then that exception will be raised within δ seconds of the call.
- If a **RemoteCall**(p, a) call results in a call of procedure p , then that call of p will occur within δ seconds of the call of **RemoteCall**.
- If a **RemoteCall**(p, a) call returns other than by raising a **BadCall** exception, then that return will occur within δ seconds of the return from the call to procedure p .

Problem 4 Write a formal specification of the Lossy RPC component.

4.2 The RPC Implementation

The RPC component is implemented with a Lossy RPC component by passing the `RemoteCall` call through to the Lossy RPC, passing the return back to the caller, and raising an exception if the corresponding return has not been issued after $2\delta + \epsilon$ seconds.

Problem 5 (a) Write a formal specification of this implementation.

(b) Prove that, if every call to a procedure in `Procs` returns within ϵ seconds, then the implementation satisfies the specification of the RPC component in Problem 2.